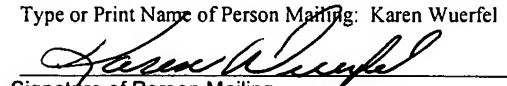




PATENT
Docket No. 703517-2003

19w

In The United States Patent and Trademark Office

<p>In re Application of:</p> <p>Inventors: S. Sholtis, <i>et al.</i></p> <p>Application No.: 10/632,769</p> <p>Filed: July 31, 2003</p> <p>Title: FEDERATED SYSTEMS AND METHODS AND MECHANISMS OF IMPLEMENTING AND USING SUCH A SYSTEM</p> <p>Docket: 7035172003</p>	<p>Art Unit: 2165</p> <p>Examiner: Diane D. Mizrahi</p> <p>CERTIFICATE OF MAILING UNDER 37 CFR § 1.8</p> <p>Date of Deposit: March 27, 2006</p> <p>I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated above in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, Box 1450, Alexandria, Virginia 22313-1450.</p> <p>Type or Print Name of Person Mailing: Karen Wuerfel</p> <p> Signature of Person Mailing</p>
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached forms PTO/SB/08A/B are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. The Examiner is requested to make this document of record.

I. Timing of the Information Disclosure Statement:

This Information Disclosure Statement is filed:

- ☐ With the new patent application submitted herewith (37 C.F.R. § 1.97(a)).
- ☐ Within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 C.F.R. § 1.491.

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01 FC:1806 180.00 DA

- ☐ Before the mailing date of a first Office action on the merits. In the event, however, that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is hereby authorized to charge Deposit Account No. 50-2518 for any fees required pursuant to 37 C.F.R. §§ 1.17(p) or 1.17(i)(1).

This Information Disclosure Statement is filed:

- ☒ After the first Office Action and more than three months after the application's filing date; or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and the Commissioner is hereby authorized to charge Deposit Account No. 50-2518, Docket No. for the fee of set forth in 37 C.F.R. § 1.17(p) and any additional required fees.

This Information Disclosure Statement is filed:

- ☐ After the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180.00) set forth in 37 C.F.R. § 1.17(i)(1) and a certification as specified in 37 C.F.R. § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the Information Disclosure Statement.

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

II. Copies of the Cited Items:

- ☒ Copies of the items listed on the attached form PTO/SB/08B are not enclosed.
- ☐ Copies of the following items listed on the attached Forms PTO/SB/08A/B is enclosed:
- ☐ Copies of the items listed in the attached Form PTO/SB/08 are not supplied because they were previously cited by or submitted to the Patent Office in a prior Application No. _____, filed _____, and relied upon in this application for an earlier filing date under 35 U.S.C § 120. See 37 C.F.R. § 1.98(d).
- ☐ Copies of those items which are marked with an asterisk (**) in the attached Form PTO/SB/08A/B were cited in a foreign examination report in a related case. A copy of the search report and the cited references not already of record in this application are attached hereto.

III. Concise Explanation of Relevance:

- ☒ A concise explanation of relevance of the item listed on form PTO/SB/08A/B is not given.
- ☐ A concise explanation of relevance of [some of] the items listed on Form PTO/SB/08A/B is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references (copy attached).

IV. Related Applications:

- ☐ Applicants bring to the Office's attention the following related, co-pending application(s):

V. Conclusion:

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08A/B with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. 50-2518, billing reference number: 703517-2003 for any additional fees required in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

BINGHAM MCCUTCHEN LLP

Dated: March 27, 2006

By: _____



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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/632,769
Filing Date	July 31, 2003
First Named Inventor	Steven Sholtis
Group Art Unit	2165
Examiner Name	Diane D. Mizrahi
Attorney Docket Number	7035172003

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	US-5,983,601		Shimbo et al	11-10-1998	
	1	US-5,983,227		Nazem, et al.	11-09-1999	
	3	US-6,795,868		Dingham et al.	09-21-2004	
	4	2001/0042081		MacFarlane et al.	11-15-2001	

Examiner
Signature

Date
Considered

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**